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FORM

(to be used for all correspondence after initial filing)

Application Number	09/812315
Filing Date	03/20/2001
First Named Inventor	Sean E. Carolan et al.
Group Art Unit	2181
Examiner Name	
Attorney Docket Number	2000-0296

Total Number of Pages in this Submission

## Enclosures (check all that apply)

- ☐ Fee Transmittal Form  
☐ Fee Attached  
☐ Amendment / Response  
☐ After Final  
☐ Affidavits / Declaration(s)  
☐ Extension of Time Request  
☐ Express Abandonment Request  
☒ Information Disclosure Statement  
☐ Certified Copy of Priority Document(s)  
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53  
☐ Response to Missing Parts/Incomplete Application

- ☐ Assignment & Recordation Cover Sheet  
☐ Drawing(s) & Letter to Official Draftsman  
☐ Licensing-related Papers  
☐ Petition to the Commissioner  
☐ Petition to Convert a Provisional Application  
☐ Power of Attorney, Revocation Change of Correspondence Address  
☐ Terminal Disclaimer  
☐ Request for Refund

- ☐ After Allowance Communication to Group  
☐ Appeal Communications to Board of Appeals and Interferences  
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5 references with IDS

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

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TELEPHONE	908-221-8525		
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IN THE UNITED STATES  
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**PATENT APPLICATION**

**Inventor(s):** Sean E. Carolan et al.

**Docket No.:** 2000-0296

**Application No.:** 09/812315

**Filing Date:** 03/20/2001

**Examiner Name:**

**Group Art Unit:** 2181

**Title:** Method And Apparatus For Coordinating A Change In Service Provider  
Between A Client And A Server

**THE COMMISSIONER FOR PATENTS  
PATENT & TRADEMARK OFFICE  
WASHINGTON, D.C. 20231**

**SIR:**

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08A. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b).

- ☒ 1. This information disclosure statement is being filed within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); within three months of the date of the entry of the national stage as set forth in §1.491 in an international application; before the mailing of a first Office action on the merits; or before the mailing of a first Office action after the filing of a request for continued examination under §1.114. No certification or fee is required. (37 C.F.R. §1.97(b)).
- ☐ 2. This information disclosure statement is being filed more than three months after the filing date of a national application other than a continued prosecution application under §1.53(d); more than three months after the date of the entry of the national stage as set forth in §1.491 in an international application, after the mailing date of a first Office action on the merits, or after the mailing of a first Office action after the filing of a request for continued examination under §1.114, but before the mailing date of any of a Final action under §1.113, a Notice of Allowance under §1.311 or an action that otherwise closes prosecution in the application. (37 C.F.R. §1.97(c)).

- ☐ a. I hereby certify that each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(1)).
- ☐ b. I hereby certify that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. (37 C.F.R. §1.97 (e)(2)).
- ☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and to ensure consideration of the disclosed information.
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- ☐ c. Please debit Deposit Account No. 01-2745 in the amount of \$180.00 to cover the fee under 37 C.F.R. §1.17(p) and ensure consideration of the disclosed information.

Respectfully,

Date: 12/14/01

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By: 

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	09/812315
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First Named Inventor	Sean E. Carolan et al.
Group Art Unit	2181
Examiner Name	
Attorney Docket Number	2000-0296

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	6088717	A	Reed et al.	07/11/2000	Fig. 1
	A2	5862325	A	Reed et al.	01/19/1999	Abstract
	A3	6219697	B1	Lawande et al.	04/17/2001	
	A4	09/785518	A1	Balogh	09/20/2001	

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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office <sup>3</sup>	Number (if known)	Kind Code <sup>2</sup>			

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents <sup>3</sup>Enter Office that issued the document, by the two letter code (WIPO Standard ST.3). <sup>4</sup>Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Application Number 09/812315  
 Filing Date 03/20/2001  
 First Named Inventor Sean E. Carolan et al.  
 Group Art Unit 2181  
 Examiner Name  
 Attorney Docket Number 2000-0296

Sheet 2 of 2

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	B1	International Preliminary Examination Report dated November 6, 2001, regarding International Application PCT/US01/8985.	[
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